

**FAA-HDBK-004**

**September 4, 1997**



**DEPARTMENT OF TRANSPORTATION**

**FEDERAL AVIATION ADMINISTRATION**

**HANDBOOK**

**NATIONAL AIRSPACE SYSTEM**

**INTERNET PROTOCOL SUITE**

**AREA SDMP**

**DISTRIBUTION STATEMENT A Approved for public release; distribution is unlimited**

## **FOREWORD**

This document defines the protocol standards for the Internet Protocol Suite (IPS), which is commonly referred to as Transmission Control Protocol/Internet Protocol (TCP/IP) protocols used for data communications within the National Airspace System (NAS). This handbook is for guidance only. It cannot be cited as a requirement. If it is cited as a requirement, the contractor does not have to comply.

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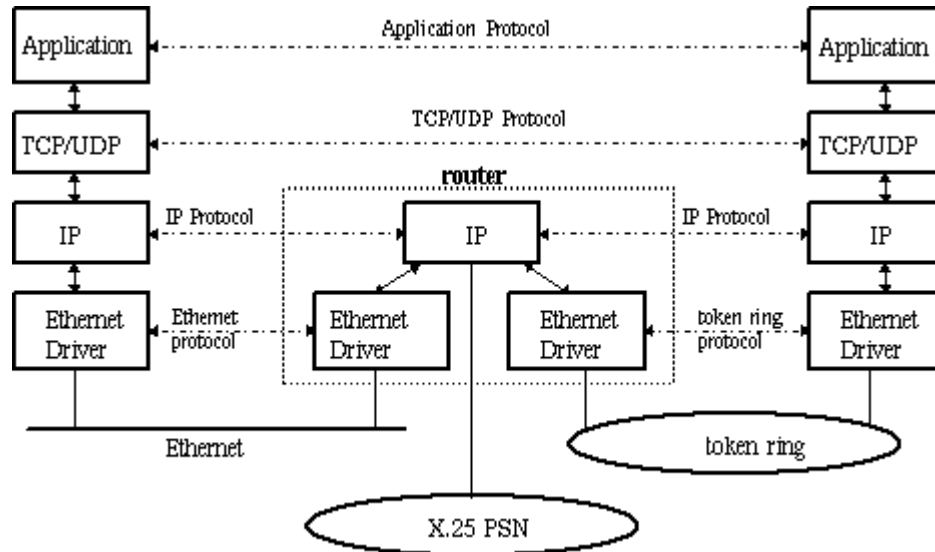
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## 1. SCOPE

**1.1 Scope.** This handbook recommends the protocols, features, and services that should be supported in a Federal Aviation Administration (FAA) IPS environment within the National Airspace System (NAS). This handbook will focus on documenting the required TCP/IP standards for connection oriented service and User Datagram Protocol (UDP) standards for connectionless service, see Figure 1. This handbook is for guidance only. It cannot be cited as a requirement. If it is, the contractor does not have to comply.



**FIGURE 1. Internet protocol suite**

Specified in this handbook are the minimum recommendations, additional protocols and services that may be implemented by mutual agreement. The minimum set defined herein may exceed the minimum requirements for a particular subnetwork.

This document was prepared in accordance with FAA-STD-005e.

**1.2 Purpose.** The purpose of this document is to recommend standardized IPS protocols, options, and service elements that are available for implementation within FAA subnetworks. It will also assist FAA project personnel in determining the minimum features and options that must be supported in order to ensure uniform IPS implementation throughout the FAA. Finally, the implementation of the material presented in this handbook will allow FAA systems to be compatible with the global internet and enable transparent interface with the existing network infrastructure to support current and future FAA programs.

## 2. APPLICABLE DOCUMENTS

**2.1 Government documents.** The following government documents form a part of this handbook to the extent specified herein. In the event of conflict between the documents referenced herein and the content of this handbook, the content of this handbook shall be considered the superseding document.

### **Standards**

- FAA-STD-005e      Preparation of Specifications, Standards and Handbooks,  
1996
- FAA-STD-039B      National Airspace System (NAS), Open Systems  
Architecture and Protocols, 1996
- FAA-STD-042A      OSI Naming and Addressing Registration, 1994
- FAA-STD-043A      Open System Interconnection (OSI), Priority, 1994
- FAA-STD-045      Open System Interconnection (OSI), Security Architecture  
Protocols and Mechanisms, 1994
- FAA-STD-047      Open System Interconnection (OSI), Conformance Testing,  
1993
- FAA-STD-048      Open System Interconnection (OSI), Interoperability  
Standard, 1995
- FAA-STD-049      Fiber Optic Standard for Telecommunication Systems and  
Equipment, 1994

### **Federal Information Processing Standards (FIPS)**



FIPS PUB 146-2 Profiles for Open Systems Internetworking Technology  
(POSIT), 1994

### **Other Government Publications**

FAA-HDBK-002 Systems Management, 1997

ENET1370-002.1AFAA Enterprise Network, Internet Packet Exchange (IPX)  
and Transmission Control Protocol/Internet Protocol  
(TCP/IP) Address Assignments

**2.2 Non-Government documents.** The following non-government documents form a part of this handbook to the extent specified herein. In the event of conflict between the documents referenced herein and the contents of this handbook, the contents of this handbook shall be considered the superseding document.

### **Internet Standards**

RFC-768 User Datagram Protocol, J. Postel, August 1980

RFC-791 Internet Protocol, J. Postel, September 1981

RFC-793 Transmission Control Protocol, J. Postel, September 1981

RFC-821 Simple Mail Transfer Protocol, J. Postel, August 1982

RFC-826 Ethernet Address Resolution Protocol, D. Plummer,  
November 1982

RFC-854 Telnet Protocol Specification, J. Postel, J.K. Reynolds,  
May  
1983

- RFC-894           Standard for the Transmission of IP Datagrams over  
Ethernet  
  
                  Networks, C. Hornig, April 1984
- RFC-903           Reverse Address Resolution Protocol, R. Finlayson, T.  
Mann,  
  
                  J.C. Mogul, M. Theimer, June 1984
- RFC-950           Internet Standard Subnetting Procedure, J. Mogul, J.  
Postel,  
  
                  August 1985
- RFC-951           Bootstrap Protocol, W.J. Croft, J. Gilmore, September  
1985
- RFC-959           File transfer Protocol, J. Postel, J.K. Reynolds,  
October 1985
- RFC-974           Mail Routing and the Domain System, C. Partridge,  
January  
  
                  1986
- RFC-1042          Standard for the Transmission of IP Datagrams over 802  
  
                  Networks, J. Postel, J. Reynolds, February 1988
- RFC-1055          Nonstandard for Transmission of IP Datagrams over Serial  
  
                  Lines: SLIP, J.L. Romkey, June 1988
- RFC-1101          DNS Encoding of Network Names and Other Types, P.V.

Mockapetris, April 1989

RFC-1112  
August

Host Extensions for IP Multicasting, S.E. Deering,

1989

RFC-1122  
R.

Requirements for Internet Hosts-Communications Layers,

Braden, October 1989

RFC-1123  
R.

Requirements for Internet Hosts-Application and Support,

Braden, October 1989

RFC-1144

Compressing TCP/IP Headers for Low-speed Serial Links,

V. Jacobson, February 1990

RFC-1148

Mapping between X.400 (1988)/ISO 100021 and RFC-822,

S. Kille, March 1990

RFC-1166  
July

Internet Numbers, S. Kirkpatrick, M. Stahl, M. Recker,

1990

RFC-1183

New DNS RR Definitions, C.F. Everhart, L.A. Mamakos, R.

Ullmann, P.V. Mockapetris, October 1990

RFC-1191

Path MTU Discovery, J.C. Mogul, S.E. Deering, November

1990

- RFC-1267      Border Gateway Protocol 3 (BGP-3), K. Lougheed, Y. Rekhter, October 1991
- RFC-1332      The PPP Internet Protocol Control Protocol (IPCP), G. McGregor, May 1992
- RFC-1356      Multiprotocol Interconnect on X.25 and ISDN in the Packet  
Mode, A. Malis, D. Robinson, R. Ullmann, August 1992
- RFC-1390      Transmission of IP and ARP over FDDI Networks, D. Katz, January 1993
- RFC-1247      OSPF Version 2, J. Moy, March 1994
- RFC-1661      The Point-to-Point Protocol (PPP), W. Simpson, July 1994
- RFC-1700      Assigned Numbers, J. Reynolds, J. Postel, October 1994
- RFC-1706      DNS NSAP Resource Records, B. Manning, R. Coletta, October 1994
- RFC-1723      RIP Version 2-Carring Additional Information, G. Malkin, November 1994

RFC-1782	TFTP Option Extension, G. Malkin, A. Harkin, March 1995
RFC-1783	TFTP Blocksize Option, G. Malkin, A. Harkin, March 1995
RFC-1784 Malkin,	TFTP Timeout Interval and Transfer Size Option, G. A. Harkin, March 1995
RFC-1785	TFTP Option Negotiation Analysis, G. Malkin, A. Harkin, March 1995
RFC-1880 November	Internet Official Protocol Standards, J. Postel, 1995

### Other Publications

International Civil Aviation Organization (ICAO) Annex 10, Volume III, Part 2, Chapter 3 (ATN) 1996

**2.3 Document sources.** Obtain copies of the applicable documents or standards by contacting the appropriate organizations.

**2.3.1 FAA documents.** Copies of FAA specifications, standards, and publications may be obtained from the Contracting Officer, Federal Aviation Administration, 800 Independence Avenue, S.W., Washington, D.C., 20591. Request should clearly identify the desired material by number and date, and state the intended use of the material.

**2.3.2 Federal or military documents.** Copies of federal or military documents are available from the Standardization Document Order Desk, 700 Robbins Avenue, Building 4D, Philadelphia, PA 19111-5094

**2.3.3 Request for comments.** Copies of Request for Comments (RFC) may be obtained from DS.INTERNIC.NET via File Transfer Protocol (FTP), Wide Area Information Service (WAIS), and electronic mail.

If FTP is used, RFCs are stored as rfc/rfcnnnn.txt or rfc/rfcnnnn.ps where "nnnn" is the RFC number. Login as "anonymous" and provide your E-Mail address as the password.

If WAIS is used, the local WAIS client or Telnet to DS.INTERNIC.NET can be used. Login as "wais" (no password is required) to access a WAIS client; help information and a tutorial for using WAIS are available online. Search the "rfcs" database to locate the desired rfc.

If electronic mail is used, send a mail message to mailserv@ds.internic.net and include any of the following commands in the message body:

document-by-name rfcnnnn            where "nnnn" is the RFC number;  
the text                                version is sent

file/ftp/rfc/rfcnnnn.yyy            where "nnnn" is the RFC number  
and "yyy" is                            "txt" or "ps"

### 3. DEFINITIONS

**3.1 Acronyms.** The acronyms used in this handbook are defined as follows:

API        Application Programming Interface

ATN        Aeronautical Telecommunication Network

ARP        Address Resolution Protocol

ARPAnet    Advanced Research Projects Agency Network

BGP        Border Gateway Protocol

BOOTP     Boot Strap Protocol

CL Connection-less

CMIP Common Management Information Protocol

CO Connection-oriented

DGRAM Datagram

DNS Domain Name System

DOD Department of Defense

EGP Exterior Gateway Protocol

FAA Federal Aviation Administration

FDDI Fiber Distributed Data Interface

FIPS Federal Information Processing Standards Publication

FTP File Transfer Protocol

GUI Graphical User Interface

ICAO International Civil Aviation Organization

ICMP Internet Control Message Protocol

IGMP Internet Group Management Protocol

IGP Interior Gateway Protocol

IEEE Institute of Electrical and Electronics Engineers

I/O Input/Output

IP Internet Protocol

IPCP Internet Protocol Control Protocol

IPS Internet Protocol Suite

ISDN Integrated Services Digital Network

ISO International Organization for Standardization

LAN Local Area Network

MILNET Military Network

MTU Maximum Transmission Unit



NAS National Airspace System

NSFNet National Science Foundation Network

OSI Open Systems Interconnection

OSPF Open Shortest Path First

POSIT Profiles for Open Systems Internetworking Technologies

PPP Point-to-Point Protocol

PSN Packet Switched Network

RARP Reverse Address Resolution Protocol

RFC Request for Comments

RIP Routing Information Protocol

RPC Remote Procedure Call

SLIP Serial Line Internet Protocol

SMTP Simple Mail Transfer Protocol

SNMP Simple Network Management Protocol

SR Source Route

TCP Transmission Control Protocol

TFTP Trivial File Transfer Protocol

TLI Transport Layer Interface

TS Timestamp

UDP User Datagram Protocol

WAIS Wide Area Information Service

WAN Wide Area Network

**3.2 Internet.** A three-level hierarchy composed of backbone networks (e.g. Advanced Research Projects Net [ARPAnet], National Science Foundation Net [NSFNet], Military Network [MILNET]) and mid-level network stub networks. These include commercial networks (.com or .co), university (.ac or .edu), other research networks (.org or .net), and military (.mil) networks. It spans many different physical networks around the world with various protocols including the IP.

- 3.3 Network.** Hardware and software data communication systems.
- 3.4 Profile.** A list of protocols that support the implementation of a service or function in a network.
- 3.5 Protocol.** A set of formal rules describing how to transmit data, especially across a network. Low-level protocols define the electrical and physical standards to be observed, bit- and byte-ordering, and transmission, error detection, and correction of the bit stream. High-level protocols deal with data formatting, including the syntax of messages, the terminal-to-computer dialogue, character sets, sequencing of messages, etc. Many protocols are defined by RFCs or by International Organization for Standardization (ISO) standards.
- 3.6 Socket.** The Berkeley UNIX mechanism for creating a virtual connection between processes. Sockets form the interface between UNIX standard input/output (I/O) and network communication facilities. They are of two types, stream (bi-directional) or datagram

The (DGRAM) (fixed length destination-addressed messages).  
end-point socket library function socket creates a communications  
socket is or socket and returns a file descriptor with which the  
consisting accessed. The socket has an associated socket address,  
of a port number and the local host's network address.

**3.7 Subnetwork.** A collection of end systems and intermediate systems under the

single control of a single administrative domain, which uses a  
network access protocol.

**3.8 Subprofile.** A subset of a profile that supports a specific protocol layer in a

network application.

**3.9 World Wide** An internet client-server distributed information retrieval system

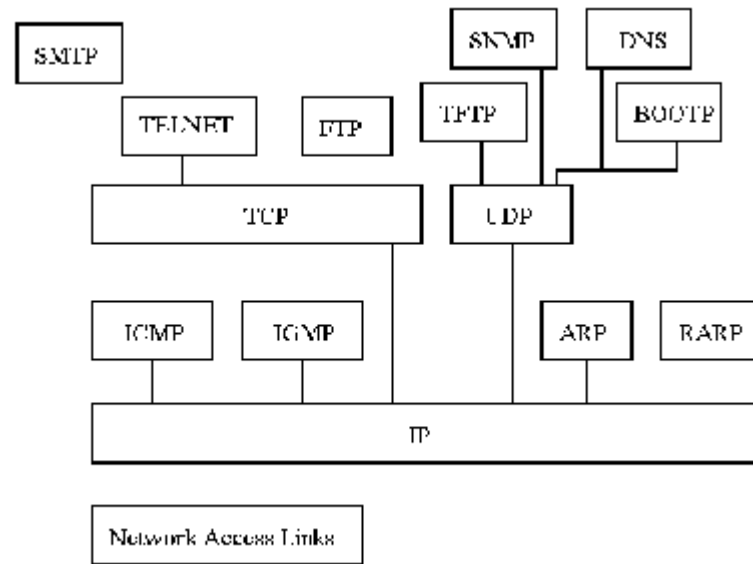
**Web.** which originated in the CERN High-Energy Physics laboratories

in Geneva, Switzerland.

## 4. GENERAL RECOMMENDATIONS

This section specifies general recommendations for implementing the IPS protocols within a subnetwork. The IPS allows computers of all sizes, from different vendors, using different operating systems, to exchange data. This data transfer is accomplished via data networks using protocols that perform different functions at different layers of the data exchange. The complete set of protocols necessary for this communication is referred to

as a protocol suite. Depicted in Figure 2 is a typical protocol suite; the suite will vary and is dependent upon the implemented network services.



**FIGURE 2. Protocol suite**

Each layer of the protocol suite supports the implementation of a different function within a communication network. The lower layer will typically provide services to the upper layer by using the services provided by the layer below it. In order to implement the desired network functions, a layer can consist of more than one protocol. The grouping of protocols that support the functional requirements of a protocol layer is referred to as a subprofile.

The link subprofile, also referred to as the network access layer, provides the physical interface to the network and connects the system to the network via the network interface card and device driver. This layer is where the electrical and mechanical characteristics are defined for the network.

The network subprofile, also known as the internet layer, provides for the movement of data packets around the network. This layer handles packet routing, addressing, packet fragmentation, and reassembly and security.

The transport subprofile provides end-to-end communications between two hosts. This layer is used to provide both reliable and unreliable service for an application.

The application subprofile provides the functions and services to an end-user. A few of the services provided are security (remote login), file transfer over the network, and electronic mail delivery.

Implementation of IPS systems that will connect to the Internet systems should be in accordance with RFC-1880, Internet Official Protocol Standards.

Implementation of the network management system in an IPS network should be in accordance with FAA-HDBK-002, Systems Management.

The recommendations listed within this handbook comply with Federal Information Publication (FIPS) 146-2, Profiles for Open Systems Internetworking Technologies (POSIT).

Subnetworks that will interface and support communications with the OSI-based international Aeronautical Telecommunication Network (ATN), must implement the practices and standards contained in the following documents:

- International Civil Aviation Organization (ICAO) Annex 10, Volume III, Part 2, Chapter 3 (ATN);
- National Airspace System Open Systems Architecture and Protocols, FAA-STD-039B.

**4.1 Link layer subprofile.** The link layer, or media access layer, normally includes the device drivers for the operating system and the corresponding network interface card installed in the computer. This layer handles the hardware details or the physical interfacing to the transmission medium (i.e., cable, radio link). It provides the mechanical, electrical, functional, and procedural methods necessary to activate, maintain, and deactivate physical connections for data links. General recommendations for implementation of the link layer should be done in accordance with RFC-1122, Requirements for Internet Hosts-Communication Layer. Detailed recommendations for physical interfaces is contained in Section 5.1.1 of this document, Link Subprofile.

**4.2 Network layer subprofile.** The network layer handles the movement of packets around the network. This layer performs address conversion between internet protocol addresses and Ethernet addresses in local area network (LAN) environments. This layer also defines the gateway interface, multicast specifications, and low-level network management.

General recommendations for implementation of the network layer should be done in accordance with RFC-1122, Requirements for Internet Hosts-Communication Layer. Detailed recommendations for the network layer are contained in Section 5.1.2 Network subprofile of this document.

**4.3 Transport layer subprofile.** The transport layer provides a flow of data between two hosts for the application layer above it. In the IPS, there are two vastly different transport protocols: one for reliable connection-oriented service, and the other for unreliable connectionless service. General recommendations for implementation of the transport layer should be done in accordance with RFC-1122, Requirements for Internet Hosts-Communication Layer. Detailed recommendations for the transport layer are contained in Section 5.1.3, Transport subprofile, of this document.

**4.4 Application layer subprofile.** The application layer handles the details of specific application programs. General recommendations for implementation of the application layer should be done in accordance with RFC-1123, Requirements for Internet Hosts-Application and Support. Detailed recommendations for the application layer are contained in Section 5.1.4, User extended subprofile, of this document.

## 5. DETAILED RECOMMENDATIONS

This section specifies the detailed recommendations necessary to implement IPS protocols within an FAA subnetwork. Contained in Section 5.1 are the subprofile recommendations that network implementors should follow in order to provide a consistent and uniform data transmission environment within FAA networks. Compliance with these recommendations will allow the same services and features to be supported in all similar networks, enable network-to-network compatibility, standardize maintenance and troubleshooting, and decrease implementation costs.

**5.1 User profiles.** Networking protocols are typically implemented in a layered approach, with each layer responsible for a different facet of communication. The IPS complies with this philosophy, and consists of various protocols that enable data communications. The complete set of protocol layers is referred to as a protocol stack. The protocols are implemented at different layers of the protocol hierarchy and perform different communication tasks, see Figure 3.

Telnet/Logon RFC-854/1282		FTP RFC-959	SMTP RFC-821	DNS RFC-1348	TFTP RFC-1350/1785	BOOTP RFC-951/1542	SNMP V1/2 RFC-1157/1448	Application Subprofile
TCP RFC-793				UDP RFC-768				Transport Subprofile
ICMP RFC-792		IGMP V2 RFC-1723	IGMP/OSPF RFC-1247	EGP/EGP RFC-1267	OSPF RFC-1332	IP Multicast RFC-1112		Network Subprofile
IP RFC-791								
ARBRARP RFC-826/903		ARBRARP RFC-826/903		EDM RFC-877	PPP RFC-1661			Link Subprofile
FDI RFC-1390	Ethernet RFC-894	IEEE 802 RFC-1042		X.21bis		CSLIP RFC-1144	SLIP RFC-1055	

**FIGURE 3. Internet protocol suite, protocol stack**

The protocols used and the number of layers in the protocol hierarchy are dependent upon the type of services the network will provide to the end user. The individual layer of a protocol stack is referred to as a subprofile. Each subprofile consists of identifying the protocols for a specific layer that will allow the network to provide the desired services.

The four IPS subprofile types deployed in FAA networks are: link, network, transport, and user extended, as identified in Figure 4.

Application	User Extended Subprofile
Transport	Transport Subprofile
Network	Network Subprofile
Link	Link Subprofile

**FIGURE 4. Subprofile layers**

**5.1.1 Link subprofile.** The subnetwork subprofile specifies the protocols that provide services corresponding to the physical and data link layers. Users may be directly connected to either the NAS backbone Wide Area Network (WAN) or to a NAS access LAN. Backbone WAN end-systems adhere to the backbone WAN subprofile, which is based on the fiber distributed data interface (FDDI) protocol. Access LAN end-systems adhere to the available access LAN subprofiles, which are based on Ethernet, Token Ring, or serial interface protocols. Access LAN end-systems are connected to backbone WAN and remote LAN end systems via a NAS multiprotocol router. End-systems should implement the backbone WAN subprofile, the Ethernet access LAN subprofile, or the Token Ring access LAN subprofile in accordance with FAA-STD-039B, NAS Open System Architecture and Protocols for the physical link. The link protocols should be implemented in accordance with the specification applicable to the physical interface. Implementation of the Link Subprofile should be done in accordance with RFC-1122, Requirements for Internet Hosts-Communication Layers.

**5.1.1.1 LAN connections.** The NAS supports the following LAN interfaces.

**5.1.1.1.1 Ethernet.** Transmission of IP datagrams over Ethernet networks should be in accordance with FAA-STD-039B, NAS Open System Architecture and Protocols and RFC 894, A Standard for the Transmission of IP Datagrams over Ethernet Networks.

**5.1.1.1.2 IEEE 802.** Transmission of IP datagrams over Institute of Electrical and Electronics Engineers (IEEE) 802 networks should be in accordance with FAA-STD-039B, NAS Open System Architecture and Protocols, and RFC-1042, A Standard for the Transmission of IP Datagrams over IEEE 802 Networks.

**5.1.1.1.3 FDDI.** Transmission of IP datagrams over FDDI networks should be in accordance with FAA-STD-039B, NAS Open System Architecture and Protocols, and RFC-1390, Transmission of IP and address resolution protocol (ARP) over FDDI Networks.



**5.1.1.1.4 ARP/Reverse Address Resolution Protocol (RARP).** Implementation of address resolution between the FDDI, Ethernet or IEEE 802 addresses and the IP addresses should be in accordance with RFC-826, Address Resolution Protocol (ARP), or RFC-903, Reverse Address Resolution Protocol (RARP).

**5.1.1.2 Serial interfaces.** Encapsulation of IP datagrams on serial lines should be performed in accordance with one of the following RFCs:

- RFC-1055, Nonstandard for Transmission of IP Datagrams over Serial Lines: Serial Line Internet Protocol (SLIP),
- RFC-1144, Compressing TCP/IP Headers for Low-speed Serial Links,
- RFC-1661, The Point-to-Point Protocol (PPP).

**5.1.1.3 Packet Switched Network (PSN X.25).** Implementation of the physical and data link layers of X.25 for an IPS network should be in accordance with FAA-STD-039B, NAS Open System Architecture and Protocols, RFC-1356, Multiprotocol Interconnect on X.25, and ISDN in the Packet Mode.

**5.1.1.4 Loopback interface.** The link implementation should support a loopback interface that allows a client and server on the same host to communicate with each other using TCP/IP. The class A network ID 127 is reserved for the loopback interface, refer to RFC-1166, Internet Numbers, for detailed network number information.

**5.1.1.5 Maximum Transmission Unit (MTU).** The maximum byte size of a frame that can be encapsulated is referred to as the maximum transmission unit (MTU). The MTU for Ethernet is 1500 bytes and the MTU for IEEE 802 is 1492 bytes. The MTU for a point-to-point link (e.g., SLIP or PPP) is determined by the desired response time, refer to RFC-1191, Path MTU Discovery, for detailed MTU information.

**5.1.2 Network subprofile.** The network subprofile specifies the protocols that provide services corresponding to the network layer. The protocol used in the IPS networks is IP. IP is designed for use in interconnected packet-switched computer communication networks and provides addressing and fragmentation services. This is not a reliable communication facility. If a higher quality of service is desired, those features must be implemented by a higher layer protocol. Implementation of the network subprofile should be in accordance with RFC-1122, Requirements for Internet Hosts-Communication Layers.

**5.1.2.1 Internet Protocol (IP).** IP support should be in accordance with RFC-791, Internet Protocol.

**5.1.2.1.1 Network addressing.** Network addressing should be in accordance with Guidance ENET1370-002.1A, for nonoperational networks, and FAA-STD-042A, for operational networks.

**5.1.2.1.2 Subnet extensions.** Subnet extensions to the addressing architecture should be in accordance with RFC-950, Internet Standard Subnetting Procedure.

**5.1.2.1.3 IP multicasting.** Multicasting support should be in accordance with RFC-1112, Internet Group Management Protocol (IGMP).

**5.1.2.2 Routing.** Networks under the same administrative control are referred to as autonomous systems. Routers used for information exchange within autonomous systems are called interior routers and they use interior gateway protocols (IGP). Routers that move information between autonomous systems are exterior routers and they use exterior gateway protocols (EGP). Dynamic routing for IPS environments should be implemented using either IGP or EGP routers.

**5.1.2.2.1 IGP.** The IGPs supported by an IPS autonomous router (same network) should be in accordance with either RFC-1583, Open Shortest Path First (OSPF) V2 or RFC-1723, Routing Information Protocol (RIP) V2.

**5.1.2.2.2 EGP.** The EGPs supported by an IPS router that moves information between autonomous systems (different networks) should be in accordance with RFC-1267, Border Gateway Protocol 3 (BGP-3).

**5.1.2.2.3 Error detection and reporting.** Error detection and reporting should be accomplished using RFC-950, Internet Standard Subnetting Procedure.

**5.1.2.3 Network control protocol for PPP.** The network control protocol implemented for PPP should be in accordance with RFC-1332, The PPP Internet Protocol Control Protocol (IPCP).

**5.1.3 Transport subprofile.** The transport subprofile specifies the protocols that provide services for the transport layer of the IPS protocol stack. The IPS transport layer will support two transport subprofiles. The available subprofiles are the IPS connection-oriented (CO) transport subprofile or the IPS connection-less (CL) transport subprofile.

CO service is provided using the TCP, which is the primary virtual-circuit transport protocol for IPS. TCP provides reliable, in-sequence delivery of a full-duplex data stream and is used by applications requiring reliable, CO service (i.e., single mail transfer protocol [SMTP], FTP, Telnet).

CL service is provided using the UDP, which offers minimal transport service and does not provide guaranteed delivery. This protocol gives applications direct access to the datagram service of the IP layer. The only services this protocol provides over IP are checksumming of the data and multiplexing by port number. Therefore, applications running over UDP must deal directly with end-to-end communication problems that a CO protocol would have handled (i.e., transmission for reliable delivery, packetization and reassembly, flow control, etc.). UDP is used by applications that do not require the level

of service that TCP provides or if communications services that TCP does not provide (i.e., broadcast, multicast) are to be used.

Implementation of the Transport subprofile should be done in accordance with RFC-1122, Requirements for Internet Hosts-Communication Layers.

**5.1.3.1 TCP.** The reliable, CO communication protocol used in IPS networks should be TCP. The protocol should be implemented in accordance with RFC-793, Transmission Control Protocol.

**5.1.3.2 UDP.** The unreliable, CL oriented communication protocol used in IPS networks should be UDP. The protocol should be implemented in accordance with RFC-768, User Datagram Protocol.

**5.1.4 User extended subprofile.** The user extended subprofile provides services corresponding to the application layer. The applications available are dependent upon the implemented transport layer and end-user requirements.

The user extended subprofile is transport layer specific and cannot be interchanged between the transport subprofiles. Therefore, exercise caution when implementing user extended subprofile. Prior to implementing a user extended subprofile verify that the applicable transport layer is supported by the network.

In order to efficiently use the existing World Wide Web, also known as the Internet, the FAA subnetworks must support the standard application configuration. This will enable subnetworks to connect to the Internet with fewer problems and support the existing services. The current standard Internet services are:

- Remote Login,
- File Transfer,
- Electronic Mail,
- Support Services.

The general implementation of these services should be in accordance with RFC-1123, Requirements for Internet Hosts-Application and Support.

**5.1.4.1 Remote login.** The standard internet application protocol for remote login is Telnet. It provides the encoding rules necessary to link a user's keyboard/display on a client system with a command interpreter on a remote server system.

**5.1.4.1.1 Telnet.** Implementation of Telnet should be in accordance with RFC-854, Telnet Protocol Specification.

**5.1.4.2 File transfer.** The user extended subprofile supports two file transfer protocols, one for TCP and another for UDP. The file transfer protocol for TCP is FTP. The file transfer protocol for UDP is trivial file transfer protocol (TFTP).

**5.1.4.2.1 FTP.** Implementation of the FTP for TCP should be in accordance with RFC-959, File Transfer Protocol. The file transfer capability of FTP allows a user to copy a file from one system to another system.

**5.1.4.2.2 TFTP.** Implementation of the file transfer protocol for UDP should be done in accordance with RFC-1782, TFTP Option Extension, RFC-1783, TFTP Blocksize Option, RFC-1784, TFTP Timeout Interval and Transfer Size Options, and RFC-1785, TFTP Option Negotiation Analysis. TFTP is a simple and small file transfer protocol. It is intended to be used when bootstrapping diskless systems (i.e. workstations or X-terminals); therefore, implementations of TFTP can fit in read-only memory.

**5.1.4.3 Electronic mail.** Mail is sent by a series of request/response transactions between a client, the sender-SMTP, and a server, the receiver-SMTP, using the SMTP.

**5.1.4.3.1 SMTP.** Implementation of electronic mail for TCP should be in accordance with RFC-821, Simple Mail Transfer Protocol, RFC-1148, Mapping between X.400 (1988)/ ISO 100021, and RFC-822.

**5.1.4.4 Support services.** The following sections cover the protocols necessary to supply support services. The standard support services are domain name system, host initialization, and network management. Implementation of these services should be in accordance with RFC-1123, Requirements for Internet Hosts-Application and Support.

**5.1.4.4.1 Domain name system.** A host must implement a resolver to convert host names to IP addresses and vice-versa. Implementation of a domain name system should be in accordance with the following RFCs:

- DOD Internet Host Table Specification (Optional),
- RFC-974, Mail Routing and the Domain System,
- RFC-1101, DNS Encoding of Network Names and Other Types,
- RFC-1183, New DNS RR Definitions,
- RFC-1706, DNS NSAP Resource Records.

**5.1.4.4.2 Host initialization.** When initializing a diskless host that contains no permanent storage configuration information must be dynamically obtained from the network. Diskless host initialization should be in accordance with the following RFCs:

- RFC-906, Bootstrap Loading Using TFTP,
- RFC-951, Bootstrap Protocol,
- RFC-1084, BootP Vendor Information Extensions.

**5.1.4.5 Network management.** Network management should be implemented using either simple network management protocol (SNMP) over UDP or common management information protocol (CMIP) over TCP. Therefore, in order to allow management to be performed by either protocol, a host must implement an appropriate management agent

for both SNMP and CMIP. Implementation of network management should be in accordance with FAA-HDBK-002, Systems Management.

**5.1.4.6 Security.** Network security services, which include authentication, encryption, access control, and data integrity, should be in accordance with FAA-STD-045, NAS Network Security Protocols and Mechanisms.

**5.1.4.7 Priority.** Application priority should be in accordance with FAA-STD-043A, NAS Priority.

**5.1.4.8 Interoperability and conformance testing.** System and network interoperability and conformance testing should be in accordance with FAA-STD-047, NAS Conformance Testing, and FAA-STD-048, NAS Interoperability Standard.

**5.1.4.9 Naming and addressing.** System naming and addressing should be in accordance with FAA-STD-042A, Open System Interconnection (OSI) Naming and Addressing.

## 6. NOTES

**6.1 Application program interface.** Application programming interfaces (API) define how programmers utilize a particular computer feature. Commonly referred to as sockets, APIs are available for windowing systems, file systems, database systems, and networking systems.

**6.1.1 Sockets.** A socket is one end of a two-way communications link between two programs running on a network. Sockets are used to implement the connection between a client program and a server program. Mail, FTP, Telnet, name, and finger are all examples of services provided by computers on a network. Typically, each service is provided on a dedicated, well-known port. A program can access a specific service by connecting to the port dedicated to that service. In addition to the ports that are dedicated to specific services, computers also have other ports that let programmers create their own services. Typically ports are numbered and a program connects to a port by specifying the port number of the service. Each service or port recognizes a certain protocol, so requests should be formulated in a manner specific to the desired service. This ensures that a request is understood and a response is received. Port assignments should be in accordance with RFC-1700, Assigned Numbers.

Typically UNIX systems use Berkeley Sockets, System V Transport Layer Interface (TLI), and Remote Procedure Call (RPC) API. Berkeley Sockets and System V TLI provide the same functionality, which is access to TCP and UDP, and are mutually exclusive. However, it is possible to write conditionally-compiled software to support either API. RPC supports network subroutines using Sun's RPC protocol. Microsoft has a sockets-like programming interface, but emphasizes event-based non-blocking to provide constant handling of graphical user interface (GUI) events.

UDP communications requires DGRAM sockets. Once created, a DGRAM socket can immediately be used to transmit UDP packets. TCP requires STREAM sockets, a STREAM socket cannot send or receive data until a connection has been established. Therefore, prior to implementing an application, the applicable socket must be available to support end-to-end communications.

## APPENDIX A

### PROFILE RECOMMENDATIONS LISTS COMMUNICATIONS LAYERS

#### A.1 SCOPE

**A.1.1 Scope.** This appendix contains a summary or the recommendations for the link, network (IP), and transport layers of the IPS. Contained in the summary tables are the feature names, applicable referenced section in RFC-1122, and implementation conditions.

#### A.2 APPLICABLE DOCUMENTS

RFC-1122 Requirements for Internet Hosts-Communication Layers

#### A.3 DEFINITIONS

**A.3.1 Applicable definitions.** In addition to the definitions listed in this section, the definitions in Section 3 of this handbook apply to this appendix.

**MUST** This word or the adjective "REQUIRED" means that the item is an absolute requirement of the specification.

**SHOULD** This word or the adjective "RECOMMENDED" means that there may exist valid reasons in particular circumstances to ignore this item, but the full implications should be understood and the case carefully weighed before choosing a different course.

**MAY** This word or the adjective "OPTIONAL" means that this item is truly optional. For example; one vendor may choose to include the item because a particular marketplace requires it or because it enhances the product, and another vendor may omit the same item.

#### A.4 GENERAL RECOMMENDATIONS

**A.4.1 General.** The tables in this appendix list the features that should be implemented in an IPS network.

TABLE A-I. Link layer conditions summary

<b>FEATURE</b>	<b>SECTION</b>											
Trailer encapsulation	2.3.1											
Send trailers by default without negotiation	2.3.1											
<b>ANP</b>	2.3.2											
Flush out-of-date ANP cache entries	2.3.2.1											
Prevent ANP floods	2.3.2.1											
Cache timeout configurable	2.3.2.1											



Save at least one (latest) unresolved pkt Ethernet and IEEE 802 Encapsulation	2.3.2.2		X				
Host able to:	2.3.3						
Send & receive RFC-894 encapsulation	2.3.3		X				
Receive RFC-1042 encapsulation	2.3.3		X				
Send RFC-1042 encapsulation	2.3.3			X			
Then config. sw. to select, RFC-894 dflt	2.3.3		X				
Send Ki-6 encapsulation	2.3.3						X
Use ARP on Ethernet and IEEE 802 nets	2.3.3		X				
Link layer report b'casts to IP layer	2.4		X				
IP layer pass TOS to link layer	2.4		X				
NO ARP cache entry treated as Dest. Unreach.	2.4						X

TABLE A-II. Internet protocol layer conditions summary

FEATURE	SECTION						
Implement IP and ICMP	3.1		X				
Handle remote multihoming in application layer	3.1		X				
Support local multihoming	3.1				X		
Host gateway specs if forward datagrams	3.1		X				
Configuration switch for embedded gateway	3.1		X				1
Config switch default to non-gateway	3.1		X				1
Auto-config based on number of interfaces	3.1						X 1
Able to log discarded datagrams	3.1						
Record in counter	3.1						
silently discard version 1-4	3.2.1.1		X				
verify IP checksum, silently discard bad dgram	3.2.1.2		X				
Addressing:							
Subnet addressing (RFC-951)	3.2.1.3		X				
src address must be host's own IP address	3.2.1.3		X				
silently discard datagram with bad dest addr	3.2.1.3		X				
silently discard datagram with bad src addr	3.2.1.3		X				
support reassembly	3.2.1.4		X				
Retain same Id field in identical datagram	3.2.1.5				X		
TOS:							
allow transport layer to set TOS	3.2.1.6		X				
Pass received TOS up to transport layer	3.2.1.6					X	
use RFC-795 link-layer mappings for TOS	3.2.1.6						X
TTL:							

send packet with TTL of 1	3.2.1.7				X	
discard received packets with TTL < 2	3.2.1.7				X	
allow transport layer to set TTL	3.2.1.7	X				
fixed TTL is configurable	3.2.1.7	X				
IP Options:						
allow transport layer to send IP options	3.2.1.8	X				
pass all IP options rcvd to higher layer	3.2.1.8	X				
IP layer silently ignore unknown options	3.2.1.8	X				
security option	3.2.1.8a		X			
send Stream Identifier option	3.2.1.8b			X		
silently ignore Stream Identifier option	3.2.1.8b	X				

TABLE A-II. Internet protocol layer conditions summary - Continued

discard route option	3.2.1.8d			X		
timestamp option	3.2.1.8e			X		
Source Route (SR) Option:						
originate & terminate SR options	3.2.1.8c	X				
datagram with completed SR passed up to TL	3.2.1.8c	X				
build correct (non-redundant) return route	3.2.1.8c	X				
send multiple SR options in one header	3.2.1.8c					X
DICMP:						
silently discard ICMP msg with unknown type	3.2.2			X		
include more than 8 octets of orig datagram	3.2.2				X	
include 1 octets same as received	3.2.2			X		
convert ICMP Error to transport protocol	3.2.2			X		
send ICMP error message with TOS=0	3.2.2			X		
send ICMP error message for:						
- ICMP error msg	3.2.2					X
- IP b'cast or IP m'cast	3.2.2					X
- Link-layer b'cast	3.2.2					X
- non-initial fragment	3.2.2					X
- Datagram with non-unique src address	3.2.2					X
Return ICMP error msgs (when not prohibited)	3.2.2			X		
Best Unreachable:						
Generate Best Unreachable (code 2/3)	3.2.2.1			X		
Pass ICMP Best Unreachable to higher layer	3.2.2.1			X		
Higher layer act on Best Unreach	3.2.2.1			X		
Interpret Best Unreach as only hint	3.2.2.1			X		
Redirect:						
Host send Redirect	3.2.2.2					X
Update route cache when rcv Redirect	3.2.2.2			X		
Handle both Host and Net Redirects	3.2.2.2			X		
Discard illegal Redirect	3.2.2.2			X		
Source Quench:						
Send source Quench if buffering exceeded	3.2.2.3				X	
Pass source Quench to higher layer	3.2.2.3			X		
Higher layer act on Source Quench	3.2.2.3			X		

Time Exceeded: pass to higher layer	3.2.2.4	X				
Parameter Problem:						
Send Parameter Problem messages	3.2.2.5		X			
Pass Parameter Problem to higher layer	3.2.2.5	X				
Report Parameter Problem to user	3.2.2.5			X		
ICMP Echo Request or Reply:						
Echo server and Echo client	3.2.2.6	X				
Echo client	3.2.2.6		X			
Discard Echo Request to broadcast address	3.2.2.6			X		
Discard Echo Request to multicast address	3.2.2.6			X		
Use specific-dest addr as Echo Reply src	3.2.2.6	X				

TABLE A-II. Internet protocol layer conditions summary - Continued

Send same data in echo reply	3.2.2.6	X				
Pass Echo Reply to higher layer	3.2.2.6	X				
Reflect Record Route, Time Stamp options	3.2.2.6		X			
Reverse and reflect Source Route option	3.2.2.6	X				
ICMP Information Request or Reply:	3.2.2.7				X	
ICMP Timestamp (TS) and Timestamp Reply:	3.2.2.8			X		
Minimize delay variability	3.2.2.8		X			1
Silently discard b'cast Timestamp	3.2.2.8			X		1
Silently discard m'cast Timestamp	3.2.2.8			X		1
Use specific-dest addr as TS Reply src	3.2.2.8	X				1
Reflect Record Route, Time Stamp options	3.2.2.8		X			1
Reverse and reflect Source Route option	3.2.2.8	X				1
Pass Timestamp Reply to higher layer	3.2.2.8	X				1
Obey rules for "standard value"	3.2.2.8	X				1
ICMP Address Mask Request and Reply:						
Addr Mask source configurable	3.2.2.9	X				
Support static configuration of addr mask	3.2.2.9	X				
Get addr mask dynamically during booting	3.2.2.9			X		
Get addr via ICMP Addr Mask Request/Reply	3.2.2.9			X		
Retransmit Addr Mask Req if no Reply	3.2.2.9	X				3
Assume default mask if no Reply	3.2.2.9		X			3
Update address mask from first Reply only	3.2.2.9	X				3
Reasonableness check on Addr Mask	3.2.2.9		X			
Send unauthorized Addr Mask Reply msgs	3.2.2.9					X
Explicitly configured to be agent	3.2.2.9	X				
Static config-> Addr-Mask-Authenticative flag	3.2.2.9		X			
Broadcast Addr Mask Reply when init.	3.2.2.9	X				3
ROUTING AND/ORIGIN DETERMINATION:						
Use address mask in local/remote decision	3.3.1.1	X				
Operate with no gateways on own network	3.3.1.1	X				
Maintain "route cache" of next-hop gateways	3.3.1.2	X				
Treat Best and Not Redirect the same	3.3.1.2		X			
If no cache entry, use default gateway	3.3.1.2	X				

Support multiple default gateways	3.3.1.2	X				
Provide table of static routes	3.3.1.2			X		
Flag: route overridable by Redirects	3.3.1.2			X		
Key route cache on host, not net address	3.3.1.3			X		
Include TOS in route cache	3.3.1.3		X			
able to detect failure of next-hop gateway	3.3.1.4	X				
Assume route is good forever	3.3.1.4				X	
Ping gateways continuously	3.3.1.4					X
Ping only when traffic being sent	3.3.1.4	X				
Ping only when no positive indication	3.3.1.4	X				
Higher and lower layers give advice	3.3.1.4		X			

TABLE A-II. Internet protocol layer conditions summary - Continued

switch from failed default gw way to another	3.3.1.5	X				
Manual method of entering config info	3.3.1.6	X				
<b>REASSEMBLY and FRAGMENTATION:</b>						
able to reassemble incoming datagrams	3.3.2	X				
At least 576 byte datagrams	3.3.2	X				
MTU_R configurable or indefinite	3.3.2		X			
transport layer able to learn MSS_R	3.3.2	X				
Send ICMP Time Exceeded on reassembly timeout	3.3.2	X				
Fixed reassembly timeout value	3.3.3		X			
Pass MSS_S to higher layers	3.3.3	X				
Local fragmentation of outgoing packets	3.3.3			X		
Also don't send bigger than MSS_S	3.3.3	X				
Send max 576 to off-net destination	3.3.3		X			
All-Subnets-MTU configuration flag	3.3.3			X		
<b>MULTIHOMING:</b>						
Reply with same addr as spec-dest addr	3.3.4.2		X			
Allow application to choose local IP addr	3.3.4.2	X				
silently discard d'gram in "wrong" interface	3.3.4.2			X		
only send d'gram through "right" interface	3.3.4.2			X		14
<b>SOURCE-ROUTE FORWARDING:</b>						
Forward datagram with Source Route option	3.3.5			X		11
Obey corresponding gateway rules	3.3.5	X				11
Update TTL by gateway rules	3.3.5	X				11
Able to generate ICMP err: code 4, E	3.3.5	X				11
IP src addr not local host	3.3.5			X		11
Update TS, Record Route options	3.3.5	X				11
Configurable switch for non-local SRing	3.3.5	X				11
Defaults to OFF	3.3.5	X				11
Satisfy gw access rules for non-local SRing	3.3.5	X				11
If not forward, send Dest Unreach (cd 5)	3.3.5		X			12
<b>BROADCAST:</b>						

broadcast addr as IP source addr	3.2.1.3					X
Receive 0 or -1 broadcast formats OK	3.3.6		X			
config'ble option to send 0 or -1 b'cast	3.3.6			X		
Default to -1 broadcast	3.3.6		X			
Recognize all broadcast address formats	3.3.6	X				
Use IP b'cast/r'cast addr in link-layer b'cast	3.3.6	X				
silently discard link-layer-only b'cast d'g's	3.3.6		X			
Use Limited Broadcast addr for connected net	3.3.6		X			
<b>MULTICAST:</b>						
Support local IP multicasting (RFC-1112)	3.3.7		X			
Support DDM (RFC-1112)	3.3.7			X		

TABLE A-II. Internet protocol layer conditions summary - Concluded

join all-hosts group at startup	3.3.7		X			
higher layers learn i'face m'cast capability	3.3.7		X			
<b>INTERFACE:</b>						
Allow transport layer to use all IP mechanisms	3.4	X				
Pass interface ident up to transport layer	3.4	X				
Pass all IP options up to transport layer	3.4	X				
transport layer can send certain DDM messages	3.4	X				
Pass spec'd DDM messages up to transp. layer	3.4	X				
Include IP hdr+8 octets of m're from orig.	3.4	X				
able to leap tall buildings at a single bound	3.5		X			

**Footnotes:**

- (1) Only if feature is implemented.
- (2) This condition is overruled if datagram is an ICMP error message.
- (3) Only if feature is implemented and is configured "on".
- (4) Unless has embedded gateway functionality or is source routed.

TABLE A-III. UDP conditions summary

<b>FEATURE</b>	<b>SECTION</b>				
<b>UDP</b>					
<b>UDP send Port Unreachable</b>	4.1.3.1	[X]			
<b>IP Options in UDP</b>					
- Pass rcv'd IP options to applic layer	4.1.3.2	[X]			
- Applic layer can specify IP options in Send	4.1.3.2	[X]			
- UDP passes IP options down to IP layer	4.1.3.2	[X]			
<b>Pass ICMP msgs up to applic layer</b>	4.1.3.3	[X]			

UDP checksums:						
- Able to generate/check checksum	4.1.3.4	X				
- Silently discard bad checksum	4.1.3.4	X				
- Sender Option to not generate checksum	4.1.3.4			X		
- Default is to checksum	4.1.3.4	X				
- Receiver Option to require checksum	4.1.3.4			X		
UDP multihoming						
- Pass spec-dest addr to application	4.1.3.5	X				
- Applic layer can specify Local IP addr	4.1.3.5	X				
- Applic layer specify wild Local IP addr	4.1.3.5	X				
- Applic layer notified of Local IP addr used	4.1.3.5			X		
Bad IP src addr silently discarded by UDP/IP	4.1.3.6	X				
Only send valid IP source address	4.1.3.6	X				
UDP Application Interface Services						
Full IP interface of 3.4 for application	4.1.4	X				
- Able to spec TTL, TOS, IP opts when send by	4.1.4	X				
- Pass received TOS up to applic layer	4.1.4				X	

TABLE A-IV. TCP conditions summary

					S	
					M P	
					O X O	
					S	U U O
					E	L S t
					X O	B T a
					U U X	I S
					S L A W W t	
					T B T O O e	
<b>FEATURE</b>	<b> SECTION</b>	<b> </b>	<b> </b>	<b> </b>	<b> T T S</b>	<b> </b>
<b>Push flag</b>						
aggregate or queue un-pushed data	4.2.2.2				X	
Sender collapse successive PSH flags	4.2.2.2				X	
send call can specify PSH	4.2.2.2				X	
If cannot: sender buffer indefinitely	4.2.2.2					X
If cannot: PSH last segment	4.2.2.2	X				
notify receiving MLP of PSH	4.2.2.2				X	
send max size segment when possible	4.2.2.2				X	
<b>Window</b>						
Treat as unsigned number	4.2.2.3	X				
Handle as 32-bit number	4.2.2.3				X	
shrink window from right	4.2.2.16					X
Robust against shrinking window	4.2.2.16 S					

Receiver's window closed indefinitely	4.2.2.17		X			
Sender probe zero window	4.2.2.17	X				
First probe after RTO	4.2.2.17		X			
Exponential backoff	4.2.2.17		X			
Allow window stay zero indefinitely	4.2.2.17	X				
Sender timeout OK conn with zero wind	4.2.2.17					X
<b>Urgent Data</b>						
Pointer points to last octet	4.2.2.4	X				
Arbitrary length urgent data sequence	4.2.2.4	X				
Inform ALP asynchronously of urgent data	4.2.2.4	X				1
ALP can learn if/how much urgent data (r'd	4.2.2.4	X				1
<b>TCP Options</b>						
Receive TCP option in any segment	4.2.2.5	X				
Ignore unsupported options	4.2.2.5	X				
cope with illegal option length	4.2.2.5	X				
Implement sending & receiving MSS option	4.2.2.6	X				
Send MSS option unless 536	4.2.2.6		X			
send MSS option always	4.2.2.6			X		
Send-MSS default is 536	4.2.2.6	X				
calculate effective send seg size	4.2.2.6	X				
<b>TCP Checksums</b>						

TABLE A-IV. TCP conditions summary - Continued

sender compute checksum	4.2.2.7	X				
Receiver check checksum	4.2.2.7	X				
<b>Use clock-driven ISN selection</b>	4.2.2.9	X				
support simultaneous open attempts	4.2.2.10	X				
SYN-RCVD remembers last state	4.2.2.11	X				
<b>Passive open call interface with others</b>	4.2.2.18					X
Function: simultan LISTENs for same port	4.2.2.18	X				
ask IP for src address for SYN if necc.	4.2.3.7	X				
otherwise, use local addr of conn.	4.2.3.7	X				
open to broadcast/multicast IP address	4.2.3.14					X
silently discard seg to bcast/mcast addr	4.2.3.14	X				
<b>Closing Connections</b>						
RST can contain data	4.2.2.12		X			
Inform application of aborted conn	4.2.2.13	X				
Half-duplex close connections	4.2.2.13			X		
Send RST to indicate data lost	4.2.2.13		X			
In TIME-WAIT state for 2MSSL seconds	4.2.2.13	X				
Accept SYN from TIME-WAIT state	4.2.2.13			X		
<b>Retransmissions</b>						
Jacobson Slow start algorithm	4.2.2.15	X				
Jacobson Congestion-Avoidance algorithm	4.2.2.15	X				



Retransmit with same IP ident	4.2.2.15		X		
Karr's algorithm	4.2.3.1	X			
Jacobson's RTO estimation alg.	4.2.3.1	X			
Exponential backoff	4.2.3.1	X			
SYN RTO calc same as data	4.2.3.1		X		
Recommended initial values and bounds	4.2.3.1		X		
Generating ACK's:					
Queue out-of-order segments	4.2.2.20		X		
Process all O'd before send ACK	4.2.2.20	X			
Send ACK for out-of-order segment	4.2.2.21		X		
Delayed ACK's	4.2.3.2		X		
Delay < 0.5 seconds	4.2.3.2	X			
Every 2nd full-sized segment ACK'd	4.2.3.2	X			
Receiver SWS-Avoidance Algorithm	4.2.3.3	X			
Sending data					
Configurable TTL	4.2.2.19	X			
Sender SWS-Avoidance algorithm	4.2.3.4	X			
Naïve algorithm	4.2.3.4		X		
Application can disable Naïve algorithm	4.2.3.4	X			
Connection Failures:					
Negative advice to IP on R1 resets	4.2.3.5	X			

TABLE A-IV. TCP conditions summary - Concluded

Close connection on R2 resets	4.2.3.5	X			
ALP can set R2	4.2.3.5	X			1
Inform ALP of R1<resets>R2	4.2.3.5		X		1
Recommended values for R1, R2	4.2.3.5		X		
Some mechanism for SYN	4.2.3.5	X			
R2 at least 3 minutes for SYN	4.2.3.5	X			
Send Keep-alive Packets:	4.2.3.6			X	
- Application can request	4.2.3.6	X			
- Default is "off"	4.2.3.6	X			
- Only send if idle for interval	4.2.3.6	X			
- Interval configurable	4.2.3.6	X			
- Default at least 2 hrs.	4.2.3.6	X			
- Tolerant of lost ACK's	4.2.3.6	X			
IP Options:					
Ignore options TOP doesn't understand	4.2.3.8	X			
Time Stamp support	4.2.3.8			X	
Record Route support	4.2.3.8			X	

Source Route:						
ALP can specify	4.2.3.8	X				1
Overrides src rt in datagram	4.2.3.8	X				
Build return route from src rt	4.2.3.8	X				
Later src route overrides	4.2.3.8		X			
Receiving ICMP Messages from IP	4.2.3.9	X				
Best. Unreach (0,1,5) -> inform ALP	4.2.3.9		X			
Best. Unreach (0,1,5) -> abort conn	4.2.3.9					X
Best. Unreach (2-4) -> abort conn	4.2.3.9		X			
Source Quench -> slow start	4.2.3.9		X			
Time Exceeded -> tell ALP, don't abort	4.2.3.9		X			
Param Problem -> tell ALP, don't abort	4.2.3.9		X			
Address Validation						
Reject OPEN call to invalid IP address	4.2.3.10	X				
Reject SYN from invalid IP address	4.2.3.10	X				
Silently discard SYN to bcast/mcast addr	4.2.3.10	X				
TOS/ALP Interface Services						
ERROR Report Mechanism	4.2.4.1	X				
ALP can disable Error Report Routine	4.2.4.1		X			
ALP can specify TOS for sending	4.2.4.2	X				
Passed unchanged to IP	4.2.4.2		X			
ALP can change TOS during connection	4.2.4.2		X			
Pass received TOS up to ALP	4.2.4.2			X		
FLUSH call	4.2.4.3			X		
optional local IP addr parm. in OPEN	4.2.4.4	X				

## FOOTNOTES:

(1) "ALP" means Application-Layer program.

## APPENDIX B

### PROFILE RECOMMENDATIONS LISTS APPLICATION LAYER

#### B.1 SCOPE

**B.1.1 Scope.** This appendix contains a summary or the recommendations for the application layer of the IPS. Contained in the summary tables are the feature names, applicable referenced section in RFC-1123, and implementation conditions.

#### B.2 APPLICABLE DOCUMENTS

RFC-959 File Transfer Protocol

RFC-1123 Requirements for Internet Hosts-Application and Support

#### B.3 DEFINITIONS

**B.3.1 Applicable definitions.** In addition to the definitions listed in this section, the definitions in Section 3 of this handbook apply to this appendix.

**MUST** This word or the adjective "REQUIRED" means that the item is an absolute requirement of the specification.

**SHOULD** This word or the adjective "RECOMMENDED" means that there may exist valid reasons in particular circumstances to ignore this item, but the full implications should be understood and the case carefully weighed before choosing a different course.

**MAY** This word or the adjective "OPTIONAL" means that this item is truly optional. For example; one vendor may choose to include the item because a particular marketplace requires it or because it enhances the product, and another vendor may omit the same item.

#### B.4 GENERAL RECOMMENDATIONS

**B.4.1 General.** The tables in this appendix list the features that should be implemented in an IPS network.

TABLE B-I. General application conditions summary

			S	
			X	F
			O	M
			S	U
			X	L
			M	O
			S	T
			U	M
			S	L
			T	V
FEATURE	SECTION		T	T
----- ----- ----- ----- -----				
User interfaces:				
Allow host name to begin with digit	2.1	X		
Host names of up to 635 characters	2.1	X		
Host names of up to 255 characters	2.1		X	
Support dotted-decimal host numbers	2.1		X	
Check syntactically for dotted-dec first	2.1		X	

Map domain names per Section 6.1	2.2	X				
Cope with soft DNS errors	2.2	X				
Reasonable interval between retries	2.2	X				
Allow for long outages	2.2	X				
Expect WKS records to be available	2.2				X	
Try multiple addr's for remote multihomed host	2.3		X			
UDP reply src addr is specific dest of request	2.3		X			
Use same IP addr for related TOS connections	2.3		X			
specify appropriate TOS values	2.4	X				
TOS value configurable	2.4	X				
Unused TOS bits zero	2.4	X				

TABLE B-II. Telnet conditions summary

FEATURE	SECTION				
Option Negotiation	3.2.1	X			
Avoid negotiation loops	3.2.1	X			
Refuse unsupported options	3.2.1	X			
Negotiation OK anytime on connection	3.2.1		X		
Default to NVT	3.2.1	X			
Send official name in Term-Type option	3.2.8	X			
Accept any name in Term-Type option	3.2.8	X			
Implement Binary, Suppress-OA options	3.3.3	X			
Echo, Status, EOL, Ext-opt-List options	3.3.3		X		
Implement Window-Size option if appropriate	3.3.3		X		
Server initiate mode negotiations	3.3.4		X		
User can enable/disable init negotiations	3.3.4		X		
OO-Aheads					
Non-OA server negotiate SUPPRESS-OA option	3.2.2	X			
User or Server accept SUPPRESS-OA option	3.2.2	X			
User Telnet ignore OA's	3.2.2		X		
Control Functions					
Support SE NOP DK IP AO AYT SB	3.2.3	X			
Support RCR EO EL Break	3.2.3		X		
Ignore unsupported control functions	3.2.3	X			
User, Server discard urgent data up to DK	3.2.4	X			
User Telnet send "Synch" after IP, AO, AYT	3.2.4		X		
Server Telnet reply Synch to IP	3.2.4		X		
Server Telnet reply Synch to AO	3.2.4	X			
User Telnet can flush output when send IP	3.2.4		X		
Encoding					
Send high-order bit in NVT mode	3.2.5			X	
Send high-order bit as parity bit	3.2.5			X	
Negot. BINARY if pass high-ord. bit to applic	3.2.5		X		
Always double IAC data byte	3.2.6	X			
Double IAC data byte in binary mode	3.2.7	X			

TABLE B-II. Telnet conditions summary - Concluded

End-of-line, CR NUL in binary mode	3.2.7					x
End-of-line						
EOL at server same as local end-of-line	3.3.1	x				
ASCII Server accept CR LF or CR NUL for EOL	3.3.1	x				
User Telnet able to send CR LF, CR NUL, or LF	3.3.1	x				
ASCII user able to select CR LF/CR NUL	3.3.1		x			
User Telnet default mode is CR LF	3.3.1		x			
Non-interactive uses CR LF for EOL	3.3.1	x				
User Telnet interface						
Input & output all 7-bit characters	3.4.1		x			
bypass local op sys interpretation	3.4.1		x			
Escape character	3.4.1	x				
User-esttable escape character	3.4.1		x			
Escape to enter 8-bit values	3.4.1			x		
can input IP, SO, AYT	3.4.2	x				
can input EO, EL, Break	3.4.2		x			
Report TCP connection errors to user	3.4.3		x			
optional non-default contact port	3.4.4		x			
can spec: output flushed when IP sent	3.4.5		x			
can manually restore output mode	3.4.5		x			





Sender assume 110 replies are synchronous	4 1.3.4					X
Support TYPE:						
ASCII - Non-Print (AM;	4 1.2.13	X				
ASCII - Telnet (AT) — If same as AM	4 1.2.2		X			
ASCII - Carriage Control (AC;	959 3.1.1.5.2			X		
EBCDIC - (any form)	959 3.1.1.2				X	
MODE	4 1.2.1	X				
LOCAL 0	4 1.2.1	X				
LOCAL n	4 1.2.1				X	Z
Support MODE:						
Stream	4 1.2.13	X				

TABLE B-III. FTP conditions summary - Continued

Block	959 3 4.2			X		
Support STRUCTURE:						
File	4.1.2.13	X				
Record	4.1.2.13	X				3
Page	4.1.2.3				X	
Support commands:						
USER	4.1.2.13	X				
PASS	4.1.2.13	X				
ACGT	4.1.2.13	X				
CWD	4.1.2.13	X				
CWD	4.1.2.13	X				
SWFT	959 5 3.1				X	
REIN	959 5 3.1				X	
QUIT	4.1.2.13	X				
PORT	4.1.2.13	X				
PASV	4.1.2.6	X				
TYPE	4.1.2.13	X				1
FERN	4.1.2.13	X				1
MODE	4.1.2.13	X				1
RETR	4.1.2.13	X				
STOR	4.1.2.13	X				
STOU	959 5 3.1				X	
APPE	4.1.2.13	X				
ALLO	959 5 3.1				X	
REST	959 5 3.1				X	
RNFR	4.1.2.13	X				
RNTO	4.1.2.13	X				
ABOR	959 5 3.1				X	
RELE	4.1.2.13	X				
RMD	4.1.2.13	X				
MKD	4.1.2.13	X				
PWD	4.1.2.13	X				
LIST	4.1.2.13	X				
NLST	4.1.2.13	X				

<b>SITE</b>	4.1.2.0			X		
<b>STAT</b>	4.1.3.13	S				
<b>SYST</b>	4.1.2.13	X				
<b>HELP</b>	4.1.2.13	X				
<b>NOOP</b>	4.1.2.13	X				
<b>User Interface:</b>						
<b>Arbitrary pathnames</b>	4.1.4.1	X				
<b>Implement "QUIT" command</b>	4.1.4.2	X				
<b>Transfer control commands immediately</b>	4.1.4.2		X			
<b>Display error messages to user</b>	4.1.4.3		X			
<b>Verbose mode</b>	4.1.4.3		X			
<b>Maintain synchronization with server</b>	4.1.4.4		X			

TABLE B-III. FTP conditions summary - Concluded

## Footnotes:

- (1) For the values shown earlier.
- (2) Here m is number of bits in a memory word.
- (3) Required for host with record-structured file system, optional otherwise.

TABLE B-IV. TFTP conditions summary

				S	
				M	P
				O X O	
		S	U U O		
		X	L S C		
		X O	B T B		
		U U X		S	
		S L A W W C			
		T B T O O O			
<b>FILENAME</b>	SECTION			T T S	
<b>Fix Sorcerer's Apprentice Syndrome</b>	4.2.3.1	X			

Transfer modes:						
7bit	[RFC-783	[x]				
8bit	[RFC-783	[x]				
16bit	[4.2.2.1				[x]	
extensions	[4.2.3.3			[x]		
Use adaptive timeout	[4.2.3.2	[x]				
Configurable access control	[4.2.3.4		[x]			
Silently ignore broadcast request	[4.2.3.5		[x]			

TABLE B-V. SMTP conditions summary

<b>FEATURE</b>	<b>SECTION</b>						
<b>RECEIVER-SMTP:</b>							
Implement VRFY	5.2.3	X					
Implement EXPN	5.2.3		X				
EXPN, VRFY configurable	5.2.3			X			
Implement SEND, SMDL, SAML	5.2.4			X			
Verify HELO parameter	5.2.5			X			
Refuse message with bad HELO	5.2.5					X	
accept explicit src-route syntax in env.	5.2.6	X					
support "postmaster"	5.2.7	X					
Process RCPT when received (except lists)	5.2.7			X			
Long delay of RCPT responses	5.2.7					X	
Add Received: line	5.2.8	X					
Received: line includes domain literal	5.2.8		X				
change previous Received: line	5.2.8					X	
Pass Return-Path info (final deliv/cvy)	5.2.8	X					
support empty covered path	5.2.9	X					
send only official reply codes	5.2.10		X				
send text from RFC-821 when appropriate	5.2.10		X				
delete "." for transparency	5.2.11	X					
accept and recognize self domain literal(s)	5.2.17	X					
Error message about error message	5.3.1					X	
Keep pending listers on SMTP port	5.3.1.2		X				
Provide limit on rcv concurrency	5.3.1.2			X			
wait at least 5 mins for next sender cmd	5.3.2		X				
avoidable delivery failure after "250 OK"	5.3.3					X	
send error notification msg after accept	5.3.3	X					
Send using null return path	5.3.3	X					
Send to envelope return path	5.3.3		X				
Send to null address	5.3.3					X	
Strip off explicit src route	5.3.3		X				
minimize acceptance delay (RFC-1047)	5.3.3	X					
<b>SENDER-SMTP:</b>							
canonicalized domain names in MAIL, RCPT	5.2.2	X					
Implement SEND, SMDL, SAML	5.2.4			X			
send valid principal host name in HELO	5.2.5	X					

TABLE B-V. SMTP conditions summary - Continued

Send explicit source route in RCPT TO	5.2.6				X	
Use only reply code to determine action	5.2.10				X	
Use only high digit of reply code when poss.	5.2.10				X	
Add "-" for transparency	5.2.11				X	
Retry messages after soft failure	5.3.1.1				X	
Delay before retry	5.3.1.1				X	
Configurable retry parameters	5.3.1.1				X	
Retry once per each queued dest host	5.3.1.1				X	
Multiple RCPT's for same DATA	5.3.1.1				X	
Support multiple concurrent transactions	5.3.1.1				X	
Provide limit on concurrency	5.3.1.1				X	
Timeouts on all activities	5.3.1				X	
Per-command timeouts	5.3.2				X	
Timeouts easily reconfigurable	5.3.2				X	
Recommended times	5.3.2				X	
Try alternate addr's in ORDER	5.3.4				X	
Configurable limit on alternate tries	5.3.4				X	
Try at least two alternates	5.3.4				X	
Load-split across equal MX alternates	5.3.4				X	
Use the Domain Name System	5.3.5				X	
Support MX records	5.3.5				X	
Use MX records in MX processing	5.3.12				X	
MAIL FORWARDED:						
Alter existing header field(s)	5.2.6				X	
Implement delay function: 821/section 3.6	5.2.6				X	
If not, deliver to MX domain	5.2.6				X	
Interpret 'local-part' of addr	5.2.16				X	
MAILING LISTS AND ALIASES						
Support both	5.3.6				X	
Report mail list error to local admin.	5.3.6				X	
MAIL OVERWAYS:						
Embed foreign mail route in local-part	5.2.16				X	
Rewrite header fields when necessary	5.3.7				X	
Prepend Received: line	5.3.7				X	
Change existing Received: line	5.3.7				X	
Accept full RFC-822 on Internet side	5.3.7				X	
Act on RFC-822 explicit source route	5.3.7				X	
Send only valid RFC-822 on Internet side	5.3.7				X	
Deliver error msgs to envelope addr	5.3.7				X	
Set new return path from RCPT return addr	5.3.7				X	
USER AGENT — RFC-822						
Allow user to enter <route> address	5.2.6				X	
Support RFC-1049 Content Type field	5.2.13				X	
Use 4-digit years	5.2.14				X	

TABLE B-V. SMTP conditions summary - Concluded

Generate numeric timezones	5.2.14		X				
Accept all timezones	5.2.14		X				
Use non-num timezones from RFC-822	5.2.14		X				
omit phrase before route-addr	5.2.15				X		
Accept and parse dot.dec. domain literals	5.2.17		X				
Accept all RFC-822 address formats	5.2.18		X				
generate invalid RFC-822 address format	5.2.18						X
fully-qualified domain names in header	5.2.18		X				
create explicit src route in header	5.2.19						X
accept explicit src route in header	5.2.19		X				
Send/rcv at least 64KB messages	5.3.0		X				

TABLE B-VI. Domain name system conditions summary

					S		
					M	?	
					O X O		
					S	U U O	
					E	L S t	
					X O	B T a	
					V U X		a
					S L A W W t		
					T B T O O e		
<b>FEATURE</b>	<b> SECTION</b>				T T S		
<b>GENERAL ISSUES</b>							
Implement DNS name-to-address conversion	6.1.1		X				
Implement DNS address-to-name conversion	6.1.1		X				
Support conversions using host table	6.1.1				X		
Properly handle RR with zero TTL	6.1.2.1		X				
Use QCLASS=* unnecessarily	6.1.2.2				X		
Use QCLASS-IN for Internet class	6.1.2.2		X				
Unused fields zero	6.1.2.3		X				
Use compression in responses	6.1.2.4		X				
Include config info in responses	6.1.2.5						X
Support all well-known, class-indep. types	6.1.3.5		X				
Easily expand type list	6.1.3.5				X		
Load all RR types (except MD and MF)	6.1.3.6		X				
Load MD or MF type	6.1.3.6						X
Operate when root servers, etc. unavailable	6.1.3.7		X				
<b>RESOLVER ISSUES:</b>							
Resolver support multiple concurrent requests	6.1.3.1				X		

TABLE B-VI. Domain name system conditions summary - Continued

Full-service resolver:	6.1.3.1			X		
Local caching	6.1.3.1	X				
Information in local cache times out	6.1.3.1	X				
configurable with starting info	6.1.3.1		X			
Stub resolver:	6.1.3.1			X		
Use redundant recursive name servers	6.1.3.1	X				
Local caching	6.1.3.1			X		
Information in local cache times out	6.1.3.1	X				
Support for remote multi-homed hosts:						
sort multiple addresses by preference list	6.1.3.4		X			
----- ----- ----- ----- ----- ----- -----						
TRANSPORT PROTOCOLS:						
Support UDP queries	6.1.3.2	X				
Support TCP queries	6.1.3.2		X			
Send query using UDP first	6.1.3.2	X				1
Try TCP if UDP answers are truncated	6.1.3.2		X			
Have server limit TCP query resources	6.1.3.2			X		
Furnish unnecessary TCP query	6.1.3.2				X	
Use truncated data as if it were not	6.1.3.2					X
Private agreement to use only TCP	6.1.3.2			X		
Use TCP for zone transfers	6.1.3.2	X				
TCP usage not block UDP queries	6.1.3.2	X				
Support broadcast or multicast queries	6.1.3.2			X		
RD bit set in query	6.1.3.2					X
RD bit ignored by server is b'cast/r'cast	6.1.3.2	X				
send only as occasional probe for addr's	6.1.3.2		X			
----- ----- ----- ----- ----- ----- -----						
RESOURCE USAGE:						
Transmission control, per [DNS:2]	6.1.3.3	X				
finite bounds per request	6.1.3.3	X				
Failures after retries -> soft error	6.1.3.3	X				
Cache temporary failures	6.1.3.3		X			
Cache negative responses	6.1.3.3		X			
Retries use exponential backoff	6.1.3.3		X			
upper, lower bounds	6.1.3.3		X			
Client handle source quench	6.1.3.3		X			
Server ignore source quench	6.1.3.3			X		
----- ----- ----- ----- ----- ----- -----						
USER INTERFACE:						
All programs have access to DNS interface	6.1.4.2	X				
Able to request all info for given name	6.1.4.2	X				
Returns complete info on error	6.1.4.2	X				
Special interfaces	6.1.4.2			X		
name->address translation	6.1.4.2	X				

TABLE B-VI. Domain name system conditions summary - Concluded

Abbreviation Facilities:						
convention for complete names	6.1.4.3			K		
conversion exactly once	6.1.4.3	X				
conversion in proper context	6.1.4.3	X				
search list:	6.1.4.3			K		
Administrator can disable	6.1.4.3		X			
Prevention of excessive root queries	6.1.4.3	X				
Both methods	6.1.4.3		X			

1. Unless there is private agreement between particular resolver and particular server.

TABLE B-VII. Management conditions summary

				S	
				K	Y
				U X O	
			S	U U O	
			K	L S t	
			X O	B T B	
			U U X		a
			S L B W W t		
			T B T O O O		
<b>FEATURE</b>	SECTION			T T O	
	-----				
Support SMD or MDT agent	6.3.1		X		
Implement specified objects in standard MIB	6.3.1		X		